



## University of Pennsylvania (USA) Foundation, Ltd. - Securities Transfer Request

### Section I. This is a request to (please select one)

- ☐ I wish to donate shares of stock to the University of Pennsylvania (USA) Foundation, Ltd. Shares can be transferred directly to Penn's account from your brokerage account. **Please complete the form below in its entirety and send a copy or fax to your broker to initiate the transfer.** A copy or fax of the form must be sent to the University of Pennsylvania Securities Office at 1-215-573-5118 or mailed to Penn's mailing address below.
- ☐ I wish to donate mutual funds or other types of financial instruments to the University of Pennsylvania-USA Foundation, Ltd. Please contact or ask your broker to contact the University of Pennsylvania Securities Office at 1-215-898-7254 for further information.

### Section II. Personal Information

Name \_\_\_\_\_

Home Address \_\_\_\_\_ Country \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

If Penn alumnus: degree year \_\_\_\_\_ school \_\_\_\_\_

### Section III: Gift Information **PLEASE BE SURE TO CONTACT YOUR BROKER TO INITIATE THE TRANSFER**

Your broker's name \_\_\_\_\_ Broker's telephone number \_\_\_\_\_

# of Shares \_\_\_\_\_ Security Name \_\_\_\_\_

Ticker Symbol (if known) \_\_\_\_\_ Gift Designation \_\_\_\_\_

Please accept this security transfer request as your authorization to transfer from account \_\_\_\_\_ to the University of Pennsylvania-USA Foundation Limited's account as follows:

**Firm:** Morgan Stanley Private Wealth Management,  
Attention: Kristine Hankins, telephone: 1-610-260-8531

**DTC:** 0015 **Account Number:** 858-801138

**Customer Name:** University of Pennsylvania-USA Foundation Limited Tax ID: 98-0387770

**Penn Contact:** University of Pennsylvania, ATTN: Jean Suta, email:  
[STOCKGIFTS@LISTS.UPENN.EDU](mailto:STOCKGIFTS@LISTS.UPENN.EDU)  
2929 Walnut Street, Suite 300  
Philadelphia, PA 19104-5099

Signature(s) of account owner(s) \_\_\_\_\_ (please check with your broker-you may be required to complete the brokerage firm's authorization forms)

Please ensure that the University's securities gift office is notified of the details of your stock gift to ensure proper acknowledgement of your gift. A fax or a copy of this transfer request form can be sent to the University's Securities Office at 1-215-573-5118 or a copy can be mailed to Penn's address noted above. **Please be sure to contact your broker to initiate the transfer.** Thank you!

**The University of Pennsylvania (USA) Foundation, Ltd., is registered with the Charity Commission for England and Wales. Registered Charity No. 1094561.**

