



University of Pennsylvania (HK) Foundation Ltd. - Securities Transfer Request

Section I. This is a request to (please select one)

- ☐ I wish to donate shares of stock to the University of Pennsylvania (HK) Foundation Ltd. Shares can be transferred directly from your brokerage account to Penn's account. **Please complete the form below in its entirety and send a copy or fax to your broker to initiate the transfer.** A copy or fax of the form must be sent to the University of Pennsylvania Securities Office at 1-215-573-5118. Please see Penn's mailing address below.
- ☐ I wish to donate mutual funds or other types of financial instruments to the University of Pennsylvania (HK) Foundation Ltd. Please contact or ask your broker to contact the University of Pennsylvania Securities Office at 1-215-898-7254 for further information.

Section II. Personal Information

Name _____

Home Address _____

City _____ State _____ Zip Code _____ Country _____

If Penn alumnus: degree year _____ school _____

Section III: Gift Information **PLEASE BE SURE TO CONTACT YOUR BROKER TO INITIATE THE TRANSFER**

Your broker's name _____ Broker's telephone number _____

of Shares _____ Security Name _____

Ticker Symbol (if known) _____ Gift Designation _____

Please accept this security transfer request as your authorization to transfer from account _____ to the University of Pennsylvania (HK) Foundation Ltd. account as follows:

Firm: Morgan Stanley Private Wealth Management
attention: Kristine Hankins, telephone: 610-260-8531

DTC: 0015

Customer Name: University of Pennsylvania (HK) Foundation Ltd.

Tax ID: 98-1062727

Account Number: 858-801167

Penn Contact: Jean Suta, telephone #1-215-898-7254 email:

STOCKGIFTS@LISTS.UPENN.EDU

University of Pennsylvania
2929 Walnut Street, Suite 300
Philadelphia, PA 19104-5099

Signature(s) of account owner(s) _____ (please check with your broker – you may be required to complete the brokerage firm's authorization forms)

Please be sure that the University's securities gift office is notified of the details of your stock gift to ensure proper acknowledgement of your gift. Please fax a copy of this transfer request form to the University's Securities Office at 1-215-573-5118 or a copy can be mailed to Penn's address noted above. **Please be sure to contact your broker to initiate the transfer.** Thank you!